



---

# **Guideline of Non-Exchange Transaction Transfer (NETT) -ver. 1.0-**

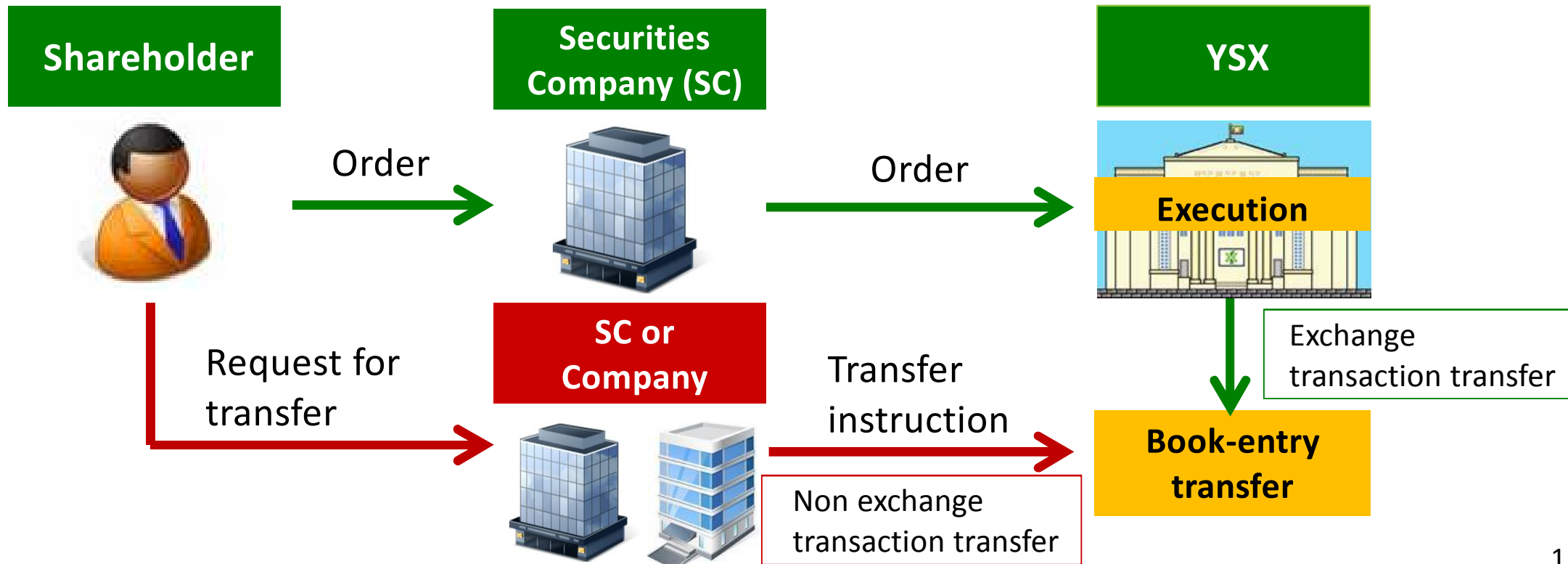
Update: 22nd February 2016

Yangon Stock Exchange Joint-Venture Co., Ltd.

# Principle of NETT



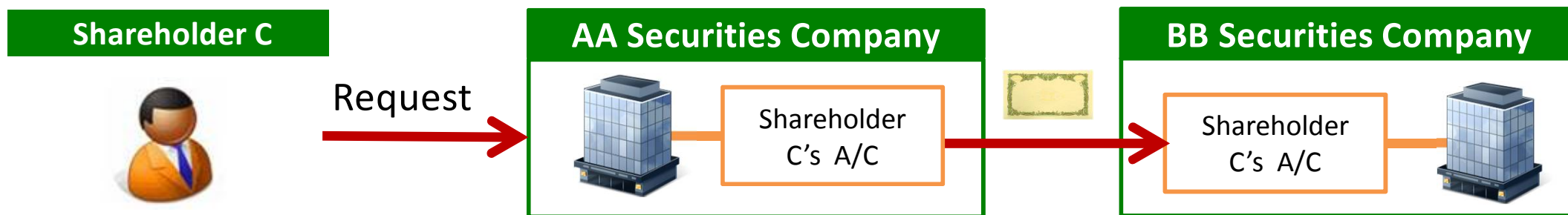
- In accordance with Section 137 of the Securities Exchange Rules, securities company shall not conduct transaction of listed companies' stocks outside YSX.
- In limited cases, YSX may accept non-exchange transaction transfer (NETT), share transfer without execution at an auction trade at YSX, of a shareholder of a listed company.



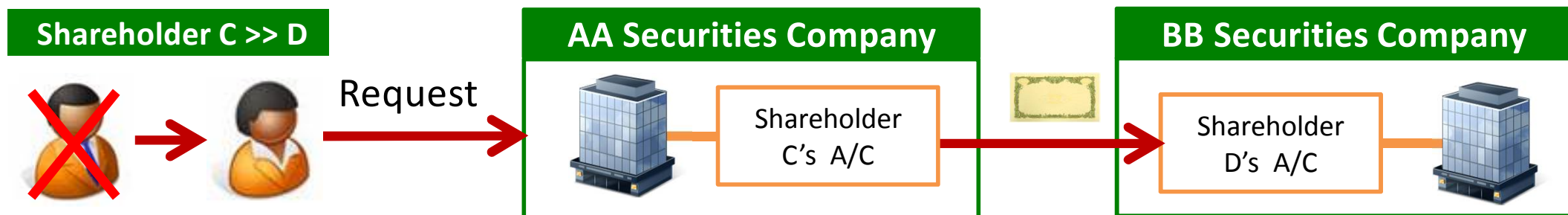
# NETT in ordinary cases



- Transfer between different securities A/Cs owned by a same shareholder

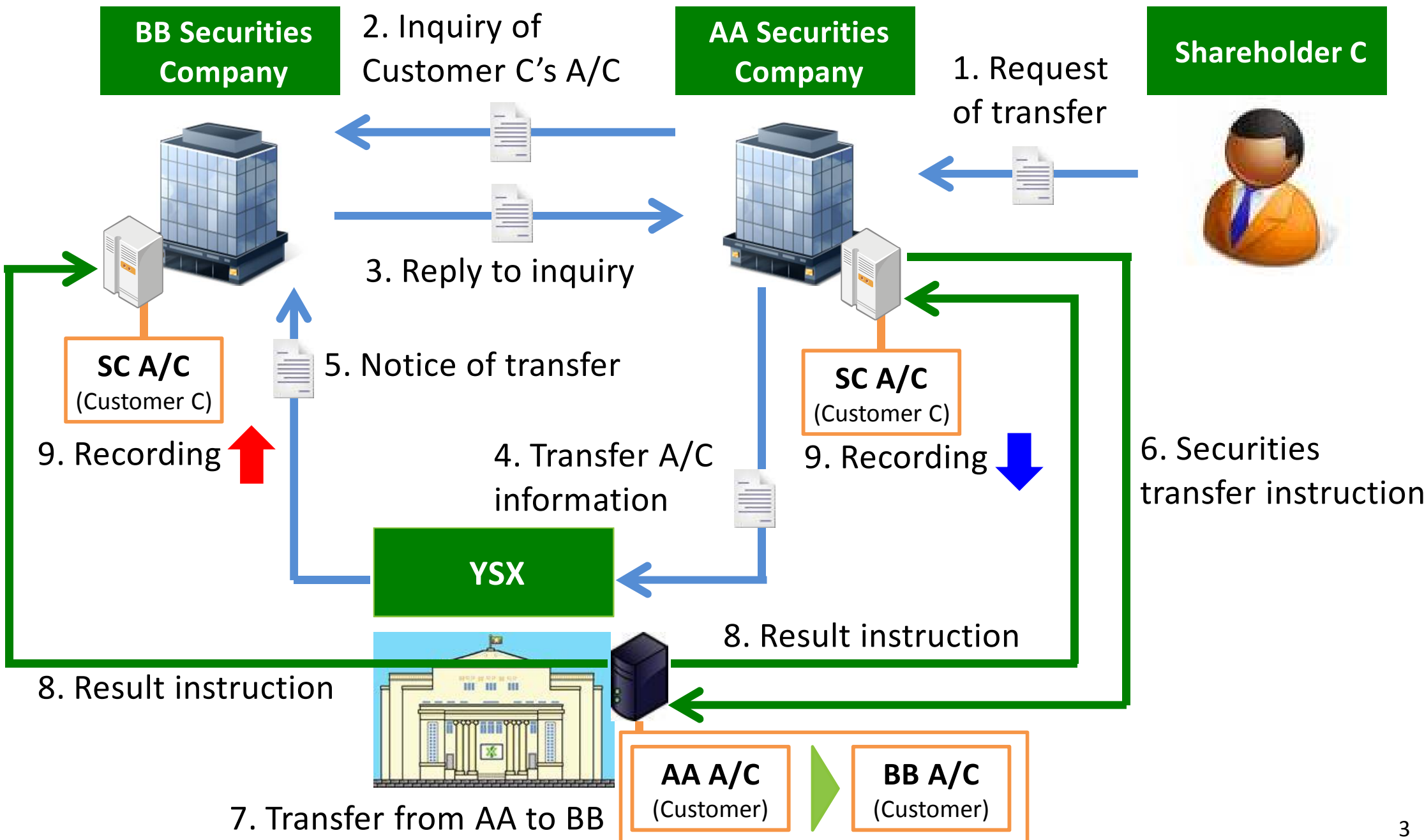


- Transmission



\* Non-necessity of transfer instruction to YSX in case above account transfers are done in a same securities company.

# Procedure on ordinary NETT



# NETT from a Special A/C



- To trade at YSX, exiting shareholders of a company to be listed have to open securities A/C and be recorded his/her amount of shares in the A/C by the company's listing.
- Shares being missed above operation are recorded in a Special A/C of the company.
- Shares being recorded in a Special A/C cannot be traded at YSX and transferred to others until the shareholders open securities A/C and transfer shares from the Special A/C.
- To transfer from a Special A/C, shareholders in the A/C have to give request instruction to the listed company and the listed company takes necessary operation among a securities company and YSX.

# Procedure on NETT from a Special A/C

