



Guideline of Trading Suspension -ver. 2.0-

Update: 30th March 2018

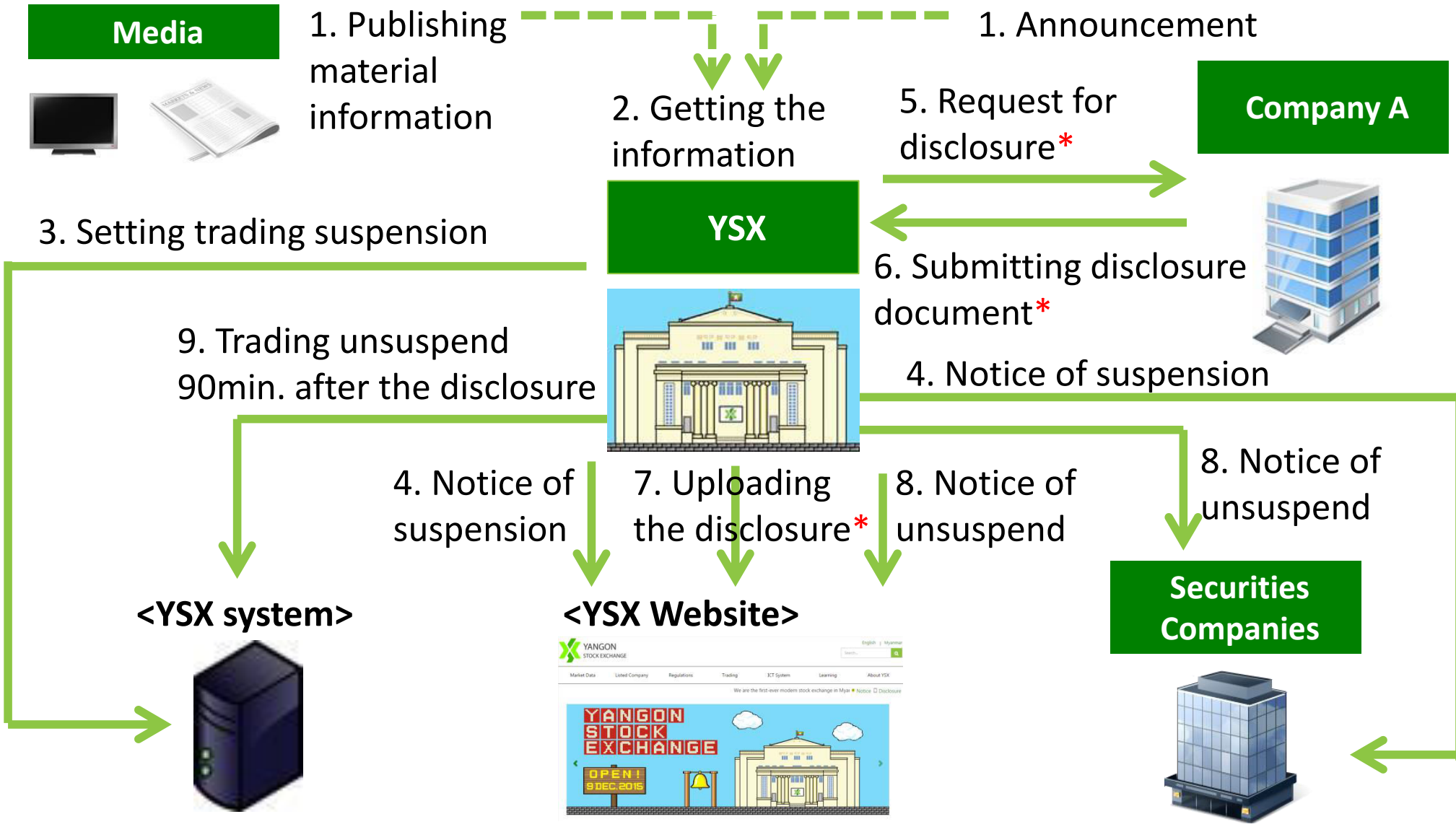
Yangon Stock Exchange Joint-Venture Co., Ltd.

Principle of trading suspension



- In case “**material information**” of a listed company publishes through the company or major media such as newspapers and TV programs, YSX temporally suspends share trading of the company to ascertain the truth of the information.
- “Material information” is deemed having significant impact on making investment decision of investors.
- YSX keeps trading suspension of the shares of the company until the company discloses an official response about the material information via YSX’s website.
- During trading suspension, investors are able to place their order to suspended shares but the orders are not matched at the matching hours.
- YSX unsuspends the trading of the company 90 minutes after the company discloses the information on YSX’s website.
- In case unsuspend time is less than 15 minutes ahead of either matching hours, 11:00 am or 1:00 pm, YSX doesn’t conduct order matching at the hour.

Procedure on trade suspension



* Unnecessary to disclose more information in case the suspension is due to the company's announcement on YSX's website.

Time schedule on trading suspension



1

Trading suspension

- YSX suspends the company's trading until the company discloses proper information

2

Disclosure by the listed company

- The company discloses an official response on YSX's website
- YSX unsuspends the trading 90 minutes after the disclosure

Time schedule on trading suspension



3

Unsuspend the trading

- YSX unsuspends the trading in following schedule

Disclosure time	Matching hour
before 8:15 am	10:00 am, 11:00 am, 12:00 pm and 1:00 pm
8:16 am to 9:15 am	11:00 am, 12:00 pm and 1:00 pm
9:16 am to 10:15 am	12:00 pm and 1:00 pm
10:16 am to 11:15 am	1:00 pm
after 11:16 am	No matching

Material information



● Decision making of a listed company

Items	Exemption
Share exchange	<p>In case of becoming a wholly owned parent company,</p> <p>(i) The ratios calculated by following formula are under 30% and 10% respectively;</p> <ul style="list-style-type: none">• (total asset amount of a wholly owned subsidiary at the end of the latest FY) / (net asset amount of the wholly owned parent company at the end of the latest FY); or• (turnover amount of a wholly owned subsidiary at the latest FY) / (turnover amount of the wholly owned parent company at the end of the latest FY) <p>(ii) Share exchange between a parent company and its consolidated subsidiaries</p>
Share transfer	N/A
Merger	<p>In case of becoming a company surviving absorption-type merger,</p> <p>(i) The ratios calculated by the formula prescribed below are under 30% and 10% respectively;</p> <ul style="list-style-type: none">• (increased asset amount by the merger) / (net asset amount at the end of the latest FY); or• (increased turnover amount) / (turnover amount at the end of the latest FY) <p>(ii) Absorption-type merger with a subsidiary</p>

Material information (Cont'd)



Items	Exemption
Company split	<p>In case of becoming a split company (transferor), The ratios calculated by following formula are under 30% and 10% respectively;</p> <ul style="list-style-type: none">• (split asset amount) / (net asset amount at the end of the latest FY); or• (decreased turnover amount) / (turnover amount at the end of the latest FY) <p>In case of becoming an acquiring company (transferee), The ratios calculated by following formula are under 30% and 10% respectively;</p> <ul style="list-style-type: none">• (increased asset amount) / (net asset amount at the end of the latest FY); or• (increased turnover amount) / (turnover amount at the end of the latest FY)
Dissolution	N/A
Delisting of the shares	N/A

Material information (Cont'd)



Items	Exemption
Transfer or acquisition of transfer of whole or part of its business	<p>In case of becoming a transferring company (transferor), The ratios calculated by following formula are under 30% and 10% respectively;</p> <ul style="list-style-type: none">• (transferred asset amount) / (net asset amount at the end of the latest FY)• (amount of decreased turnover) / (amount of turnover at the most recent fiscal year) <p>In case of becoming an acquiring company (transferee), The ratios calculated by following formula are under 30% and 10% respectively;</p> <ul style="list-style-type: none">• (amount of increased assets by acquiring) / (amount of net assets at the end of the most recent fiscal year)• (amount of increased turnover) / (amount of turnover at the most recent fiscal year)
Other matters having equivalent impacts referred to herein	N/A

Material information (Cont'd)



- **Occurrence of an event**
 - Damage arising from disaster or in the course of performing operations
 - Transfer of a shareholder owning more than 10% of the outstanding shares
 - Bounce of negotiable instruments such as cheque
 - Other events having equivalent impacts referred to herein
- **Failing to disclose required financial results due to reasons such as unaudited financial statements**
- **Matters concerning operation, business or property of the company, regardless its parent company or subsidiaries, having significant influence on making investment decisions for investors**
- **Material information of subsidiaries of the company, having a significant influence on making investment decisions for investors**