

Old-New Comparison Table
for
Partial Amendment of Securities Listing Business Regulations, etc. Pertaining to
Disclosure of Material Related Party Transactions

Contents

	(Page)
1. Old-New Comparison Table for Securities Listing Business Regulations ·····	1
2. Old-New Comparison Table for Enforcement Regulations for Securities Listing Business Regulations ·····	2

Old-New Comparison Table for Securities Listing Business Regulations

New	Old
<p><u>Section 16 Disclosure of Material Related Party Transaction</u> <u>A listed company shall disclose a material related party transaction disclosure report within three (3) calendar days after the closure of the relevant transaction in accordance with Section 10 of “Instruction on Material Related Party Transactions for Listed Companies and Public Companies with More than One Hundred Shareholders” stipulated by SECM.</u></p>	<p>(New)</p>
<p><u>Section 17 Examination of Disclosure of Corporate Information, etc.</u> A listed company shall ensure the matters specified in each of the following items when disclosing corporate information, etc. pursuant to the provisions of the preceding <u>three</u> sections. (1)-(6) (Omitted)</p>	<p><u>Section 16 Examination of Disclosure of Corporate Information, etc.</u> A listed company shall ensure the matters specified in each of the following items when disclosing corporate information, etc. pursuant to the provisions of the preceding <u>two</u> sections. (1)-(6) (Omitted)</p>
<p><u>Section 18 Explanation to the Exchange</u> Where a listed company discloses corporate information, etc. pursuant to the provisions <u>from Section 14 to Section 16</u>, it shall explain details of such disclosed information to the Exchange in advance.</p>	<p><u>Section 17 Explanation to the Exchange</u> Where a listed company discloses corporate information, etc. pursuant to the provisions <u>of Section 14 or Section 15</u>, it shall explain details of such disclosed information to the Exchange in advance.</p>
<p><u>Section 19 Method of Disclosure of Corporate Information, etc.</u> 1. Disclosure of corporate information, etc. made pursuant to the provisions <u>from Section 14 to Section 16</u> shall be conducted using the website of the Exchange. 2. (Omitted) 3. Notwithstanding the preceding paragraph, where the website of the Exchange cannot be used or the Exchange deems necessary, disclosure of corporate information, etc. pursuant to the provisions <u>from Section 14 to Section 16</u> shall be conducted by a method specified by the Exchange on a case-by-case basis.</p>	<p><u>Section 18 Method of Disclosure of Corporate Information, etc.</u> 1. Disclosure of corporate information, etc. made pursuant to the provisions <u>of Section 14 or Section 15</u> shall be conducted using the website of the Exchange. 2. (Omitted) 3. Notwithstanding the preceding paragraph, where the website of the Exchange cannot be used or the Exchange deems necessary, disclosure of corporate information, etc. pursuant to the provisions <u>of Section 14 or Section 15</u> shall be conducted by a method specified by the Exchange on a case-by-case basis.</p>
<p><u>Section 20 Revision or Correction to Disclosed Information</u> When it is necessary to revise or correct the details disclosed pursuant to the provisions <u>from Section 14 to Section 16</u>, the listed company shall immediately disclose revisions or corrections.</p>	<p><u>Section 19 Revision or Correction to Disclosed Information</u> When it is necessary to revise or correct the details disclosed pursuant to the provisions <u>of Section 14 or Section 15</u>, the listed company shall immediately disclose revisions or corrections.</p>
<p><u>Section 21 – Section 38</u> (Omitted)</p>	<p><u>Section 20 – Section 37</u> (Omitted)</p>
<p>Supplementary Provision This amendment shall be implemented on 1st April, 2021.</p>	

Old-New Comparison Table for Enforcement Regulations for Securities Listing Business Regulations

New	Old
<p>Section 8 Handling of Document Submission The submission of documents prescribed in <u>Section 25</u>, Paragraph 1 of the Business Regulations shall be carried out according the classification in the following table and at the timing referred to in the same table; provided, however, that this shall not apply when the Exchange approves it. (Omitted below)</p> <p>Section 9 Handling of Date of Delisting The day of delisting prescribed in <u>Section 33</u> of the Business Regulations is, as a general rule, the day on which one month passes after the day on which the Exchange decides to delist the listed stock. If this is not appropriate, the Exchange shall specify the day on a case-by-case basis.</p> <p>Section 10 Listing Fees The amount and payment deadline of the listing fees prescribed in <u>Section 35</u> of the Business Regulations shall be as specified in the table of Fees for Listing Company.</p> <p>Supplementary Provision This amendment shall be implemented on 1st April, 2021.</p>	<p>Section 8 Handling of Document Submission The submission of documents prescribed in <u>Section 24</u>, Paragraph 1 of the Business Regulations shall be carried out according the classification in the following table and at the timing referred to in the same table; provided, however, that this shall not apply when the Exchange approves it. (Omitted below)</p> <p>Section 9 Handling of Date of Delisting The day of delisting prescribed in <u>Section 32</u> of the Business Regulations is, as a general rule, the day on which one month passes after the day on which the Exchange decides to delist the listed stock. If this is not appropriate, the Exchange shall specify the day on a case-by-case basis.</p> <p>Section 10 Listing Fees The amount and payment deadline of the listing fees prescribed in <u>Section 34</u> of the Business Regulations shall be as specified in the table of Fees for Listing Company.</p>

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