

Comparative Chart of Enforcement Regulations for Trading Business Regulations

Present	Past
Section 5. Minimum order quantity for block trading The minimum order quantity specified by the Exchange as prescribed in Section 12, Paragraph 2 of the Business Regulations shall be 10,000 stocks (or) the stocks for trading value of MMK 30 million.	Section 5. Minimum order quantity for block trading The minimum order quantity specified by the Exchange as prescribed in Section 12, Paragraph 2 of the Business Regulations shall be 10,000 stocks.
(Omitted.)	Supplementary Provision This Enforcement Regulations shall be implemented on 30th October, 2015.
(Omitted.)	Supplementary Provision This amendment shall be implemented on 21st December, 2015
(Omitted.)	Supplementary Provision This amendment shall be implemented on 20 th March, 2020.
Supplementary Provision This amendment shall be implemented on 1 st September, 2022.	

Comparative Chart of Guideline for Block Trade

Present	Past
Principle of a block trade • A block trade is a transaction minimum 10,000 shares (or) the stocks for trading value of MMK 30 million, defined by YSX, and the trade is executed at YSX apart from the auction trade.	Principle of a block trade • A block trade is a transaction exceeding 10,000 shares, defined by YSX, and the trade is executed at YSX apart from the auction trade.
Block trade rules Application By Fax or Email	Block trade rules Application by Fax

Trading hour (Omitted)	Trading hour 9:30 am to 1:30 pm
Trading counterpart (Omitted)	Trading counterpart <ul style="list-style-type: none"> • between same securities company; or • between different securities company
Minimum size 10,000 shares (or) the shares for trading value of MMK 30 million	Minimum size 10,000 shares
Tick size (Omitted)	Tick size MMK 1
Execution price (Omitted)	Execution price Set by within $\pm 5\%$ of the latest executed price of auction trade at YSX <ul style="list-style-type: none"> • before 10:00 am : Base price of the day • 10:00 am to 10:30 am: executed price at 10:00 am • 10:30 am to 11:00 am: executed price at 10:30 am • 11:00 am to 11:30 am: executed price at 11:00 am • 11:30 am to 12:00 pm: executed price at 11:30 am • 12:00 pm to 12:30 pm: executed price at 12:00 pm • 12:30 pm to 01:00 pm: executed price at 12:30 pm • 01:00 pm to 01:30 pm: executed price at 01:00 pm
Settlement date (Omitted)	Settlement date T+3 (settled together with other executions on the same day)