



The Government of the Republic of the Union of Myanmar

Ministry of Planning and Finance

The Securities and Exchange Commission

Instruction (1/ 2023)

The 11th Waxing of Tagu, 1384 ME

(31st March, 2023)

**Amendment of the Anti-Money Laundering Instruction for Securities Companies,
Stock Exchange and Over-the-Counter Market**

1. Under Section 71(b), Chapter XIII of the Securities Exchange Law, the Securities and Exchange Commission of Myanmar issued the Anti-Money Laundering Instruction for securities companies, stock exchange and over-the-counter market on March 11, 2016.

2 In accordance with the approval of the Commission Meeting (2/2023) held on February 23, 2023, Para 5 (b), (c), and (d) of the Anti- Money Laundering Instruction (3/2016) for securities companies, stock exchange and over-the-counter market which have previously been issued, shall be amended as follows:

- (b) Above MMK 100 million of transaction;
- (c) Unusual large amount of transaction according customer's profile even if the amount of less than MMK 100 million of transaction or suspicious transaction;
- (d) Suspicious transaction by persons who force securities companies to accept cash;

(Maung Maung Win)

Chairman

Letter No. Commission/4/4/2(149/2023)

Date: 31st March 2023

Circulation

Yangon Stock Exchange

Securities Companies