# Fees for Pre-Listing Board

## 1. Registration Fees

(Fees	on a	regular	basis)
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Items	Amount
Initial registration fee for existing Public Companies (Initial registration applicant)	<ul> <li>Registration up to the end of August 2024 <ul> <li>Free of charge</li> <li>Registration up to the end of February 2025</li> <li>0.025% of MC as of registration date</li> </ul> </li> <li>Registration after February 2025 <ul> <li>0.05% of MC as of registration date</li> </ul> </li> <li>Note: The amount of Initial Registration Fee will be deducted from Initial Listing Fee when joining to Main Board.</li> </ul>
Initial registration fee for newly formed Public Companies (Initial registration applicant)	<ul> <li>Registration within 6 months of Public Company status Free of charge</li> <li>Registration within 7 to 12 months of Public Company status 0.025% of MC as of registration date</li> <li>Registration after 12 months of Public Company status 0.05% of MC as of registration date</li> <li>Note: The amount of Initial Registration Fee will be deducted from Initial Listing Fee when joining to Main Board.</li> </ul>
Annual registration fee (Registered company)	<ul> <li>For 3 years after registration date MC as of last day of each financial year × 0.0025%</li> <li>For 4 to 5 years after registration date MC as of last day of each financial year × 0.005%</li> <li>For later than 5 years after registration date MC as of last day of each financial year × 0.01%</li> </ul>
Fee for announcement of the foreign shareholding ratio (Registered company)	MMK 100,000 per month

#### (Fees by event)

Items	Amount
	<ul> <li>For 5 years after the registration date</li> </ul>
Additional registration fee	Number of additional registration shares × offering price × 0.025%
(Registered company)	- Later than 5 years after the registration date
	Number of additional registration shares × offering price × 0.05%
Fee for offering of new shares (Initial registration applicant and registered company)	<ul> <li>For 5 years after the registration date Number of additional registration shares × offering price × 0.02%</li> <li>Later than 5 years after the registration date Number of additional registration shares × offering price × 0.04%</li> </ul>
Fee for offering of existing shares (Initial registration applicant and registered company)	<ul> <li>For 5 years after the registration date Number of additional registration shares × offering price × 0.005%</li> <li>Later than 5 years after the registration date Number of additional registration shares × offering price × 0.01%</li> </ul>

#### 2. Trading Participant Fees

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Items	Amount
Qualification examination fee (Note 1)/ Being a trading participant	1,000,000 Ks
Trading participants admission fee (Note 1)/ Being a trading participant	10,000,000 Ks
Basic fee/ Per month	450,000 Ks
Trading fee/ Per month	<ul> <li>For brokerage trading</li> <li>Trading participant's trading value × 0.15% (Note 2)</li> <li>For proprietary trading</li> <li>Free of charge</li> </ul>
Cancellation fee/ Per month	Trading participant's trading value × 0.2% (Note 3)

(Note 1) Existing trading participants of YSX are exempt from these fees.

(Note 2) 1.0% of Trading Fees are reserved in YSX as Reserve Funds for guaranteeing settlement of securities transactions for ensuring the safety of settlement of trade in the exchange market.

(Note 3) Where calculated amount of Cancellation Fees is less than MMK 100,000, the fees shall be MMK 100,000 and where the amount is more than MMK 10,000,000, the fees shall be MMK 10,000,000.

#### 3. Book-entry Transfer Fees

(Fees to	Issuer)
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Items	Amount
Book-entry transfer system fees/ Per month	<ul> <li>For 5 years after the registration date 250,000 Ks + the number of shareholders × 250 Ks (Note1)</li> <li>Later than 5 years after the registration date 500,000 Ks + the number of shareholders × 500 Ks (Note1)</li> </ul>
General shareholders confirmation fees/ Per notice (Note2)	<ul> <li>For 5 years after the registration date 500,000 Ks or the number of shareholders × 150 Ks, whichever is lower (Note3)</li> <li>Later than 5 years after the registration date 1,000,000 Ks or the number of shareholders × 300 Ks, whichever is lower (Note3)</li> </ul>
Individual shareholders confirmation fees/ Per notice	<ul> <li>For 5 years after the registration date</li> <li>5,000Ks</li> <li>Later than 5 years after the registration date</li> <li>10,000 Ks</li> </ul>

(Note1) The number of shareholders is the one at the end of the most recent business year. Until the month of the first record date for shareholders after registration, the number of shareholders is the one as of the day of registration.

(Note2) This excludes fees of the General Shareholders Confirmation pertaining to an Annual General Meeting.

(Note3) The number of shareholders is the one in the General Shareholders Confirmation.

#### (Fees to Issuer having Special Account)

Items of service fees	Amount
Book-entry transfer service fees (Transfer from Special Account)/ Per transfer	1,000 Ks

### (Fees to Account Management Institution)

rees to Account Management Institution)	
Items of service fees	Amount
Book-entry transfer service fees (settlement of transactions at the exchange)/ Per transfer	5,000 Ks
Book-entry transfer service fees (Transfer among Account Management Institution accounts within the company)/ Per transfer	1,000 Ks
Book-entry transfer service fees (Transfer from Special Account)/ Per transfer	1,000 Ks
Book-entry transfer service fees (Others) / Per transfer (Note 4)	5,000 Ks
Book-entry transfer account management fees/ Per month	50,000 Ks + the number of accounts for customer × 10 Ks (Note 5)
Book-entry transfer account management fees pertaining to foreign investors / Per month	The number of foreign accounts × 20 Ks (Note 6)

(Note 4) Both transferor Account Management Institution and transferee Account Management Institution shall pay the fees.

(Note 5) The number of accounts for customer is the one at the end of the most recent business year. (Note 6) The number of foreign accounts is the one at the end of the most recent business year.

#### 4. Registration Adviser Fees

Items	Amount
Registration Adviser Examination Fee	1,000,000 Ks
Annual Registration Adviser Fee/ Per year	500,000 Ks

\* Securities companies that are trading participants of YSX are exempt from the above fees.

End.